To the Stars

Zero point energy emerges from realm of science fiction, may be key to deep-space travel

WILLIAM B. SCOTT/AUSTIN, TEX.

t least two large aerospace companies and one U.S. Defense Dept. agency are betting that "zero point energy" could be the next breakthrough in aerospace vehicle propulsion, and are backing those bets with seed money for ZPE research.

If their efforts pay off, ZPE-driven powerplants might enable Mach 4 fighters, quiet 1,200-seat hypersonic airliners that fly at 100-mi. altitudes as far as 12,000 mi. in about 70 min., and 12.6-hr. trips to the Moon.

ONE OF THOSE companies, BAE Systems, launched "Project Greenglow" in 1986 "to provide a focus for research into novel propulsion systems and the means

to power them," said R.A. Evans, the project leader, in a technical paper last year. Although funding levels have been modest, Greenglow is exploring ZPE as one element of the program's "project-directed research," according to John E. Allen, a consultant to BAE Systems.

At least one large U.S. aerospace company is embarking on ZPE research in response to a Defense Dept. request, but the company and its customer cannot be identified yet. National laboratories, the military services and other companies either now

have or have had low-level ZPE-related efforts underway.

The concept of zero point energy is rooted in quantum theory, and is difficult for even the technically minded to grasp. But theories validated by meticulous experiments have confirmed that so-called "empty space" or what scientists call the "quantum vacuum" actually is teeming with activity. Tiny electromagnetic fields continuously fluctuate around their "zero-baseline" values, even when the temperature drops to absolute zero (0 K) and all thermal effects have ceased.

A leading researcher in this realm of new physics, Hal E. Puthoff, director of the Institute for Advanced Studies here, explains zero point energy this way: "When you get down to the tiniest quantum levels, everything's always 'jiggly.' Nothing is completely still, even at absolute zero. That's why it's called 'zero point energy,' because, if you were to cool the universe down to absolute zero—where all thermal motions were frozen out—you'd still have residual motion. The energy associated with that 'jiggling' will remain, too."

For most technologists, quantum theory conjures up images of extremely minuscule particles and field effects. Why would aerospace companies and governments invest in researching "jiggles" that defy measurement? Because those quantum or vacuum fluctuations—the

Spacecraft capable of interstellar travel will approach the speed of light, and may have to extract energy from the vacuum of space. However, researchers could be years or decades from achieving the breakthroughs necessary to build such a propulsion system.

"jiggles" of zero point energy—if tapped somehow, could produce stupendous amounts of energy and enable deepspace voyages that are impossible for today's propulsion methods.

"Human transportation within the Solar system will only become technologically practical if there is a breakthrough in terms of speed, coupled with an adequate energy/fuel supply," Evans said.

Energy densities (the amount of en-

ergy per unit volume) of the quantum vacuum are comparable to those of nuclear energy—or even greater. Consequently, its potential as an energy source is absolutely enormous.

Quantifying the potential of ZPE is difficult, and scientists are reluctant to translate the huge numbers predicted by quantum theory into terms easily grasped. Puthoff's explanation is particularly graphic, though: "It's ridiculous, but theoretically, there's enough [zero point] energy in the volume of a coffee cup to more than evaporate all the world's oceans," Puthoff said. "But that's if you could get at all of it, and you obviously can't. So, when it comes to a practical amount of ZPE [that might be extracted

from the vacuum], you're still talking about maybe 10²⁶ joules/cubic meter.

"The potential is practically limitless; way beyond what can be conceived. But until we learn what ZPE embodiment to use [an engineering process to extract ZPE], and to what frequency we can effectively extract the energy, it's really hard to make a practical statement about how much you can actually use," he cautioned. "So far, the embodiments are pitifully small. [Experiments] have produced about the same amount of energy as a butterfly's wing-pi-

cowatts or so. But the potential is there."

That staggering potential has kept researchers pursuing a "new physics" that some critics classify as near-science fiction. Still, respected scientists and government agencies believe the quest is worth investing time, effort and money. In 1986, the U.S. Air Force's then-Rocket Propulsion Laboratory (RPL) at Edwards AFB, Calif., solicited "Nonconventional Propulsion Concepts" under a Small Business Innovation Research program. One of the six areas of interest was "Esoteric energy sources for propulsion, including the zero point quantum dynamic energy of vacuum space"

In particular, the late Robert Forward,

ZPE in '12?

AUSTIN, TEX.

In trying to predict when a scientific breakthrough might unlock zero point energy (ZPE) as a space transportation power source, a few scientists suggest looking for clues in historical cycles.

One of the more enticing is the Kondratieff interval, which was defined by Nikolai Kondratieff in 1924. Often cited in economic studies, the roughly 55-year cycle can be found in a variety of human-event patterns. John E. Allen, a longtime aerospace researcher and consultant for BAE Systems, found that the Kondratieff cycle shows up in key milestones leading to spaceflight (see chart). If the cycle holds true, then mankind is due for another breakthrough in about 2012—which will be 55 years after the launch of Russia's Sputnik, mankind's first satellite, and 109 years after the Wright brothers' first flight.

Hal E. Puthoff, one of several scientists who has spent years trying to "break

Steps Toward Spaceflight Newton (Principia) Force, Statics, Inertia, Dynamics James Watt First Patent of Mobile Power Wright Brothers First Manned Flight Sputnik Lavoisier Spacecraft Orbit James Young 55 **Years** Years **Years Years** Years Years The Kondratieff interval occurs in a surprising number of human activities. There is a 54-year gap between aviation and spaceflight. But the process seems to be embedded in other evolutions in human thinking. All these quoted were essential to the evolution of aerospace. Source: John E. Allen, "Quest for a Novel Force: A Possible Revolution in Aerospace," 2003.

the code" that would release the tremendous potential of ZPE, quipped, "It's always darkest just before it's pitch black (see p. 50). The most frustrating period is when you know [the answer] is close, but you're not there yet. Certainly, that's where we are now. The fact that major aerospace companies are getting interested in [ZPE] will definitely accelerate the process. But there's no way to predict how long that'll take."

He and other ZPE researchers might look not only to the Kondratieff interval for encouragement, but also to Wilbur Wright's recollection in 1908: "In 1901, I confess that I said to my brother, Orville, that man would not fly for 50 years. Two years later, we made flights. This demonstration of my impotence as a prophet gave me such shock that ever more I have distrusted myself and avoided all predictions."

a respected scientist consulting for RPL (now part of the Air Force Research Laboratory system), recommended additional research of the "Casimir effect," which had suggested the existence of ZPE decades earlier. This phenomenon is attributed to H.G.B. Casimir, a Dutch researcher, who, in 1948, confirmed the reality of quantum vacuum energy by calculating the value of a small force between two uncharged metal plates.

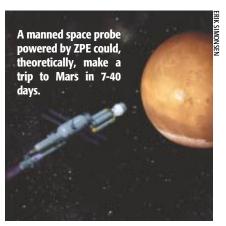
"IF YOU PUT TWO metal plates very close together, they partially shield some ZPE frequencies," Puthoff explained. "That means the energy bouncing back and forth between the plates is less than the energy outside, so the plates get pushed together. Radiation pressure outside the plates is greater than radiation pressure in the somewhat-shielded area between the plates. The plates coming together convert vacuum energy to heat."

In 1997, Steve K. Lamoreaux, a University of Washington atomic physicist at the time, conducted precise measurements of the Casimir effect. His results almost perfectly matched the predictions of quantum electrodynamics theory, according to a peer-reviewed paper in the Jan. 6, 1997, issue of *Physical Review Letters*.

When NASA established the Breakthrough Propulsion Physics (BPP) program in 1996 to research advanced forms of space transportation, it focused on three objectives:

- Propulsion that required no propellant mass.
- Propulsion that attained the maximum transit speeds physically possible.
- Breakthrough methods of energy production to power such devices.

Marc G. Millis, founder and former project manager of the BPP effort, said the program sponsored G. Jordan Maclay, chief scientist for Quantum Fields LLC, was "to look at getting



more empirical evidence to flesh-out what this vacuum energy 'stuff' really is." Maclay performed a precise measurement of attractive Casimir forces, and was working to quantify repulsive forces when BPP funding was deleted from NASA's Fiscal 2003 budget (www.quantumfields.com). The BPP

program has been on hold since then.

Through private funding, Puthoff and his team have secured patents based on converting ZPE to "miniature ball lightning—micron-size lightning—using a very small traveling wave tube," he said. "It appeared to demonstrate the principle [of ZPE extraction], but we were never successful in scaling it up to useful levels. We're now working on various engineering embodiments to do that, but we're not there yet."

"As to where we stand on energy exchange [research], the force levels and amount of energy are piddly—real, but extremely small," Millis added. "We're still [asking]: Is there any way to interact with this vacuum energy to create forces without rocket propellant? Can we [develop] a form of propulsion that needs no propellant . . . for very deep-space travel?"

So far, the answers have been "no" or, at best, "maybe." But there are striking and encouraging parallels between the evolvement of ZPE and the history of nuclear energy research. Albert Einstein's equations showed that an infinitesimal amount of mass could be converted to a tremendous amount of energy via nuclear reactions. Initially, scientists insisted something was wrong; the numbers were just too large. They didn't make sense. But the mathematics were incontrovertible.

Then natural radioactivity was dis-

covered, validating Einstein's equations. However, energy releases found in nature were so small that even Einstein believed radiation could never be harnessed as a useful energy source.

"At that time, it looked like [nuclear] fission was going nowhere," Puthoff said. "The big breakthrough came when [atomic physicist Enrico] Fermi did his famous experiment at the University of Chicago. He found that a material releasing lots of neutrons could act as a catalyst and start a runaway reaction. Fission would take off and cause a big effect—eventually the atomic bomb in the weapons [arena] and nuclear reactors in the energy [production] area."

Zero point energy has a similar history. Predictions from quantum mechanics said ZPE existed, but the huge numbers associated with it prompted questions about the mathematics' validity and suspicions of errors in quantum theory. "Then the Casimir effect was found to be a natural embodiment of natural principles," Puthoff said. "The [general] reaction was: 'OK, but it's a small effect. It's never going to be useful for making energy'—just like what was said about nuclear energy. So, we're now at the stage of looking for the equivalent of Fermi's neutron-source catalyst—something that ignites the ZPE

If that "catalyst" is ever discovered, and a ZPE powerplant is developed, how would it affect aeronautics and space travel? Allen, a BAE Systems consultant and engineering professor at London's Kingston University, explored that question in a comprehensive paper published last year by Progress in Aerospace Sciences (www.sciencedirect.com). Entitled "Quest for a Novel Force: A Possible Revolution in Aerospace," the paper included a "what-if" study, based on "a novel force engine." Allen assumed four sizes of the powerplant, referred to as a "mass-dynamic engine," with thrusts in the 5-500-metric-tons

Back From the Brink

Streamlining space business sector proves difficult, but could be key to survival

WILLIAM B. SCOTT/DENVER

multiyear, phased reorganization of Lockheed Martin's space business appears to be paying off as the company chalks up wins in both civilian and government competitions. Its experience is a snapshot of the sometimes painful rebuilding process many aerospace companies are undergoing to ensure they can compete in today's global markets.

The Lockheed Martin Space Systems Co. turnaround is noteworthy, because its position as a top-ranked player in the national security and commercial space arenas was at risk a few years ago. A billion-dollar Titan IV/Milstar launch accident, followed by embarrassing losses of two Mars probes during the heyday of NASA's faster-better-cheaper era, had tainted the aerospace giant's reputation and shaken its workforce's morale.

The company's space sector was still somewhat balkanized at the time, a legacy of 1990s acquisitions and mergers. In 1999, Lockheed Martin executives embarked on an aggressive plan to fully integrate the programmatic and functional elements of its Space Systems Co.'s Sunnyvale, Calif., and Denver operations. Redundancies were to be eliminated, and efficiencies improved to save about \$40 million initially, and approximately \$5 million each year thereafter. The bottom line objective, though, was to strengthen and streamline its space operations to be more competitive.

From the outset, company leaders made it clear to employees that survival was at stake. "We had to do this together, or it wouldn't be a happy ending. It kind of clarifies the mind when you look at it that way," said G. Thomas Marsh, executive vice president for Lockheed Martin Space Systems Co.

A phased approach was taken to "get ourselves organized and structured to better serve our customers," Marsh explained. "We've oriented our organization around the customer—around 'lines of business.' Our intent is to give the customer visibility—through our information systems or any other means—into what's going on from business, schedule and technical standpoints."

TO EASE THE SHOCK of making necessary changes, specific functional areas were addressed in each phase rather than trying to revamp all organizations at once. Disparate financial and cost-accounting systems were consolidated into a single system spanning all sectors. Next came revisions of business management, human resources and information structures. Last year, the company tackled "value chain" reorganization—technical operations or engineering and quality sectors, for example.

Recently, considerable effort has been focused on aligning processes and procedures across the Space Systems company, eliminating "stovepipe" ways of doing business within groups. In the

past, engineering had its own procedures, and operations, production and quality groups had their own. Now, common processes and procedures cut across the whole space organization.

"For example, we wrote a set of procedures that [matches] the flow of a program," Marsh said. "It starts with the [contract] capture process, then flows to the development process, engaging engineering and [its] full set of guidelines. For example, [guidelines and checklists show] what you must do to get to a preliminary design review phase, then to the critical design review. We try to do this in the context of the new [government] acquisition policy that's coming out, describing those key decision points. [Then these processes] feed in what quality and production should be doing in the development phase. It truly looks clear across the value chain [until] you shut the program down and dispose of the assets." The guidelines help employees understand their own roles at each step of the process.

The idea that Space Systems is "one company" has been a central theme reinforced during restructuring. "We're really not separate organizations located at 19 different places around the U.S. We're one company trying to bring all those locations to bear on [proposals] we're making and programs we're executing," Marsh said. "We worked very hard on getting people to believe this was the right thing to do, and that they weren't competing [with each other] anymore. They were all part of an organization that's competing externally."

Functional groups—like engineering, business management, human resources, quality and operations—are matrixed, serving several "lines of business," each of which may encompass several programs. Each line-of-business manager (11,000-1.1-million-lb.) range. A likely source of energy for them would be ZPE.

Allen is no stranger to cutting-edge projects, having been involved in the preliminary designs of a transonic nuclear weapon (Blue Danube), an early supersonic guided missile (Blue Steel), early space shuttle work, and several advanced fighter and trainer aircraft at Hawker Siddely. "I am familiar with bringing novelties into successful aerospace hardware, and am well aware of the qualities required to make a successful product," he wrote.

Through a systematic process he calls "imagineering," Allen conceived of sev-

eral air and space vehicles powered by mass-dynamic engines:

- A heavy-lift freighter capable of carrying a 1,000-metric-ton payload more than 20,000 km. (10,792 naut. mi.) at speeds of Mach 0.7-0.9.
- A Mach 4 vertical takeoff/short-takeoff and landing fighter.
- A 600-1,000-seat airliner powered by two 250,000-lb.-thrust engines.
- A Lunar craft that would climb slowly to a 36-km. altitude to minimize aerodynamic effects, then accelerate to a maximum velocity of 10-km./sec. (19,440 naut. mi./hr.) until slowing for a landing on the Moon. "This trajectory provides a flight time of 12.6 hr.," Allen suggested.

is accountable for cost and schedule execution, while functional managers are graded on how well they support the requirements of business lines.

"People are deployed to a program, and are managed on a day-to-day basis by program managers," Marsh said. "We have to get the right balance, so lines of business extract value from functional organizations to achieve objectives."

At the moment, the changes are meeting leaders' expectations. Marsh points to recent gains, such as a backlog of more than 20 Atlas V launches, and competitive wins of several missile defense programs as evidence that the new ways are working.

"We used our new business process . . . and I think we were right on target with customers' expectations," he noted. "Indications are we're on the right track."

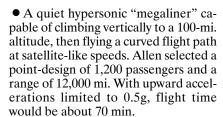
As expected, the Space Systems transition has had to confront and resolve cultural differences, but the phased process apparently provided a degree of stability that's made a steady stream of

changes more palatable. "We've had hiccups, just in things like the terminology used in one place versus another," Marsh said. "Redoing our procedures and processes was [aimed] at getting to that [communications] issue and making sure we start working as a common culture. We're still working through cultural issues—and will be for awhile. But I think, for the most part, [changes] are being embraced."

so Far, THE NATION'S economic slump has been helping Space Systems attract needed technical talent. About 85% of offers made are being accepted. Still, software and systems engineering specialists tend to be in short supply. Marsh echoed other aerospace executives' concerns when looking to future intellectual capital needs (AW&ST Feb. 16, p. 11).

"As the economy turns [upward], we expect our 85% acceptance ratio to change as competition for these resources [increases]. If you look at projections nationwide for engineers and scientists, and what colleges and universities are turning out, the trends are not in a direction

that would support what's going to be needed. [Industry] and the government are going to have to put a big push on this in order to have the talent we need in the future."



• A Mars transporter that could take a 20-person team to the red planet in 7-40 days, depending on the separation distance between the Earth and Mars.

Allen's analyses showed the performance of these craft are within the realm of feasibility, if using a breakthrough powerplant running on fuel with ZPE-like energy densities.

But is harnessing ZPE feasible, and, if so, how soon? If the expectations of cutting-edge scientists are any guide, a ZPE power source with aerospace applications could be in sight.

"I'd say our confidence level [of a breakthrough] is 50% or better. We have some ideas that we're exploring, but we're not ready to talk about them," Puthoff hedged. "The big hurdle is finding an embodiment that will permit scale-ups to useful levels of energy—finding the catalyst for accelerating currently known processes. If our [research] is successful, almost assuredly there'd be no problem with small units—a few cubic centimeters of ZPE—providing enough energy to power spaceships."

As to when a breakthrough might occur, "We're definitely not stumbling around in the dark any more," Puthoff continued. "It's been shown that zero point energy is real and has real consequences. It's definitely a technology that's not ready for prime time, but it's definitely ready for serious scientific investigation."

Based on an historical cycle of breakthroughs in transportation technology, the human race is due for another big leap in about 2012 (see p. 51). Last year, Allen predicted one could occur "within a decade or two. This stage is equivalent to where aeronautics was in the 1890s."

Still, NASA's Millis urges caution. "I really don't want to raise people's expectations too much," he said. "To get overly excited causes more damage [in the field of ZPE research] than skeptics do. We need to make sure we're not extending our claims beyond what the evidence points us to today. To be impartial, I'd say we're not on the verge of grandiose breakthroughs. But we have another embryonic field opening up to us."



Reorganization of Lockheed Martin's Space Systems Co. appears to be paying off. The company has launch orders for more than 20 of its Atlas V boosters.